

|   |  |   |  |   |  |
|---|--|---|--|---|--|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br>10.089,394 |  | INTERNATIONAL APPLICATION NO.<br>PCT/US00/26948 |  | ATTORNEY'S DOCKET<br>NUMBER: 226272007801 |  |
|---|--|---|--|---|--|

|   |              |              |            |                                      |     |
|---|--------------|--------------|------------|--------------------------------------|-----|
| 21. <input type="checkbox"/> The following fees are submitted:<br><b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b><br>Neither international preliminary examination fee (37 CFR 1.482)<br>nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO<br>and International Search Report not prepared by the EPO or JPO.....\$1,040.00<br>International preliminary examination fee (37 CFR 1.482) not paid to<br>USPTO but International Search Report prepared by the EPO or JPO.....\$890.00<br>International preliminary examination fee (37 CFR 1.482) not paid to USPTO<br>but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$740.00<br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>but all claims did not satisfy provision of PCT Article 33(1)-(4) .....\$710.00<br>International preliminary examination fee (37 CFR 1.482) paid to USPTO<br>and all claims satisfied provisions of PCT Article 33(1)-(4) .....\$100.00 |              |              |            | <b>CALCULATIONS<br/>PTO USE ONLY</b> |     |
| ENTER APPROPRIATE BASIC FEE AMOUNT =  |              |              |            | \$0                                  |     |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from<br>the earliest claimed priority date (37 CFR 1.492(e)).  |              |              |            | \$0                                  |     |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA | RATE       | \$                                   |     |
| Total claims  | 24 - 24 =    | 0            | x \$18.00  | \$0                                  |     |
| Independent claims  | 1 - 3 =      | 0            | x \$84.00  | \$0                                  |     |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |              |              | + \$280.00 | \$0                                  |     |
| TOTAL OF ABOVE CALCULATIONS =   |              |              |            | \$0                                  |     |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced<br>by 1/2.  |              |              |            | \$0                                  |     |
| SUBTOTAL =  |              |              |            | \$0                                  |     |
| Processing fee of \$130.00 for furnishing the English translation later than<br><input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).   |              |              |            | +                                    | \$0 |
| TOTAL NATIONAL FEE =  |              |              |            | \$0                                  |     |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be<br>accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   |              |              |            | +                                    | \$0 |
| TOTAL FEES ENCLOSED =   |              |              |            | \$0                                  |     |
|   |              |              |            | Amount<br>to be<br>refunded:         | \$0 |
|   |              |              |            | charged:                             | \$0 |

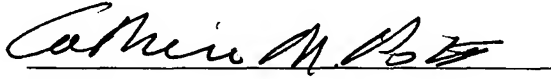
  

|  |  |
|--|--|
| a. <input type="checkbox"/> A check in the amount of \$0 to cover the above fees is enclosed.<br>b. <input type="checkbox"/> Please charge my <u>Deposit Account No. 03-1952</u> in the amount of \$ to cover the above fees. A duplicate copy of this<br>sheet is enclosed.<br>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to<br><u>Deposit Account No. 03-1952</u> .<br>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card<br>information should not be included on this form. Provide credit card information and authorization on PTO-2038. |  |
|--|--|

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive  
(37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Debra Glaister  
 Morrison & Foerster LLP  
 755 Page Mill Road  
 Palo Alto, California 94304-1018

  
 SIGNATURE  
 Catherine M. Polizzi  
Registration No. 40,130  
 for Debra Glaister (Reg. No. 33,888)



UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

|                             |                       |                  |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/089,394                  | Haifeng Chen          | 226272007801     |

 Debra J Glaister  
 Morrison & Foerster  
 755 Page Mill Road  
 Palo Alto, CA 94304-1018

RECEIVED

JUL 02 2002

MORRISON &amp; FOERSTER LLP

**COPY**

|                               |               |
|-------------------------------|---------------|
| INTERNATIONAL APPLICATION NO. |               |
| PCT/US00/26948                |               |
| I.A. FILING DATE              | PRIORITY DATE |
| 09/29/2000                    | 10/01/1999    |

CONFIRMATION NO. 5216

371 FORMALITIES LETTER



\*OC00000008345686\*

Date Mailed: 06/26/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Assignee Statement
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

*Miss Requirements due*  
*8/26/02*

*DOCKETED* *MDI* *8/26/03-F*

- This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - **APPLICANT MUST PROVIDE:**
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
    - For Rules Interpretation, call (703) 308-4216
    - To Purchase PatentIn Software, call (703) 306-2600
    - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

- This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

Telephone: (703) 305-6421

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/089,394                  | PCT/US00/26948                | 226272007801     |

**COPY**



Creation date: 29-07-2003  
Indexing Officer: RNEFTALIEM - RAHEL NEFTALIEM  
Team: OIPEBackFileIndexing  
Dossier: 10089394

Legal Date: 25-11-2002

| No. | Doccode | Number of pages |
|-----|---------|-----------------|
| 1   | CRFL ✓  | 3               |

Total number of pages: 3

Remarks:

Order of re-scan issued on .....